

Board of Directors (in Public)

Item 6.1.3a

Subject: Audit Committee BAF Key Issues Report
Date of Meeting: 28th January 2025
Presented by: John Doyle, Audit Committee Chair
Meeting Held: 14th January 2025 (E-Meeting)

This report sets out the key assurances, risks and actions from the recent Committee meeting. Areas for escalation to the Board of Directors are included below as required.

Agenda Item	Lead Exec	Assurance Received	New/ Emerging Risks	Actions/Comments
5.1.1 Digital Action Plan 2024/2025	SB	Update provided on the digital action plan for 2024/2025. Plan identifies gaps in control and plans to strengthen digital controls within the organisaion.	-	Terms of Reference approved for a full review to evaluate the effectiveness, efficiency and overall performance of the shared digital service and address the root cause of any failures. Task and finish group to be convened.
5.1.2 Cyber Security Update	SB	Partial assurance received in respect some aspects of operational IT delivery. Update provided to Audit Committee regarding cyber processes.	-	-
5.1.3 Data Quality Assurance Report	SB	Assurance provided with regards to the controls in place in relation to data quality management.	-	Current governance and reporting arrangements to be reviewed in the coming months due to changes in iDigital services.
5.2 Risk Management KPIs	BV	Update provided on risk reporting and InPhase.	None	-

Agenda Item	Lead Exec	Assurance Received	New/ Emerging Risks	Actions/Comments
		There is compliance with risk reporting requirements as set out in the risk management policy for risks.		
5.3 Review Clinical Audit Plan and 6-monthly progress reports	AS	Partial assurance provided on the current delivery of this year's clinical audit program and NICE guidance review.	-	An action plan has been developed to address challenges, including the reinforcement of the SOP library
5.4 Annual Audit Committee evaluation/ effectiveness	JT/BV	Verbal update provided on the annual audit committee evaluation /effectiveness.	None	-
5.5 Review Register of External Visits	BV	Register of external visits reviewed.	None	Continue to review the register twice yearly.
5.6 Compliance with Licence: Review of quarterly checklist	BV	Assurance provided that there is compliance with key requirements of the provider licence.	-	This spans the majority of corporate objectives but primarily reflects the BAF risks and ongoing management of the recovery and restoration of services, along with the changes to the funding regime.
5.7 Regulatory Action Plans – As required	BV/JT	No regulatory action plans.	-	-
5.8 Procurement Act Update	JT/JB	<p>Overview on the key changes of the Procurement Act – expected to go live in February 2025</p> <p>Overview provided of the key areas that will require review and approval from Trust Audit committees in relation to potential changes to Trust SFI's, waiver documentation</p>	Significant changes to procurement and processes.	-

Agenda Item	Lead Exec	Assurance Received	New/ Emerging Risks	Actions/Comments
		and procurement policies.		
5.9 LHCH Q3 24/25 Tender & Quotation Waivers	JT	Assurance received on processes for managing tender waivers and quotation waivers.	-	Procurement will continue to record quotation and tender waivers for reporting each quarter to Audit committee.
6.1 Progress report on delivery of plan	MIAA	Update provided in respect of the progress made against the internal audit plan for 2024/2025	-	-
6.2 Follow-up report	MIAA	Update provided on the 2024-2025 follow up reviews.	-	-
6.3 Anti-fraud update	MIAA	Fraud-update provided.	-	-
7.1 External audit plan and fees	Ex. Auditor	-	-	Update to be provided at the next Audit Committee.
7.2 External audit update report	Ex. Auditor	Update provided on the progress in delivering the responsibilities as external auditors.	-	-
7.3 NEDs webinar – 2023/2024 insights	Ex. Auditor	Overview provided of the key issues from 2023/24 opinion work.	-	-